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Receipt

PATENT

Docket No. **SF/0006.02**

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Assistant Commissioner for Patents
Washington, D.C. 20231

on 11/19/01

By: Darryl A. Smith
Darryl A. Smith

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Martin Frid-Nielsen et al.

Serial No.: 09/060,206

Filed: April 14, 1998

For: System and Methods for Appointment
Reconciliation

Examiner: Unknown

Art Unit: 2164

REQUEST FOR CORRECTION OF
FILING RECEIPT

Box Missing Parts
Assistant Commissioner for Patents
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MAR 13 2002
Technology Center 2100

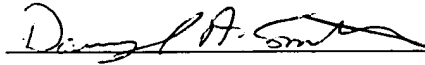
Sir:

Applicant received a Filing Receipt in response to a Continued Prosecution Application filed in this case. The Filing Receipt was mailed on 10/15/2001. Applicant hereby requests corrections to the Filing Receipt. Specifically, the title of the patent application should be "SYSTEM AND METHODS FOR APPOINTMENT RECONCILIATION", as specified in the Declaration and Power of Attorney for this case. Also, Applicant requests that the Attorney Docket Number be changed to "SF/0006.02". Applicant has included herewith a copy of the Filing Receipt, showing the requested changes, and a copy of the Declaration and Power of Attorney for this case.

If there are any questions regarding the requested changes, Applicant's attorney may be reached at (831) 461-5535.

Respectfully submitted,

Date: November 19, 2001

A handwritten signature in black ink, appearing to read "Darryl A. Smith", written over a horizontal line.

Darryl A. Smith; Reg. No. 37,723
Attorney for Applicant

Legal Department
Starfish Software, Inc.
1700 Green Hills Road
Scotts Valley, CA 95066
(831) 461-5535 - voice
(831) 461-5900 - fax

SF/0006.02



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/060,206	04/14/1998	2164	2790	17383-S-2 SF/0006.02	11	20	3

CONFIRMATION NO. 9351

CORRECTED FILING RECEIPT



OC00000006901695

C. GEORGE YU
STARFISH SOFTWARE, INC.
1700 GREEN HILLS ROAD
SCOTTS VALLEY, CA 95066

Date Mailed: 10/15/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MARTIN FRID-NIELSEN, SANTA CRUZ, CA;
RICHARD L. SCHWARTZ, PARIS, FRANCE;
STEVEN R. BOYE, LOS GATOS, CA;

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/649,824 05/17/1996 PAT 5,778,346
WHICH IS A CON OF 07/823,567 01/21/1992 PAT 5,519,606

Foreign Applications

If Required, Foreign Filing License Granted 05/07/1998

CPA filed on: 10/03/2001

Projected Publication Date: 01/24/2002

Non-Publication Request: No

Early Publication Request: No

Title

~~COMPUTER SYSTEM AND METHOD FOR AUTOMATICALLY REDISTRIBUTING
INVESTMENT FUND AMOUNTS~~
System and Methods for Appointment Reconciliation

Preliminary Class
705

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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Attorney Docket No. 106
JOINT

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SYSTEM AND METHODS FOR APPOINTMENT RECONCILIATION**, the specification of which was filed on January 21, 1992 as Application Serial No. 823,567.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application Number	Date of Filing	Priority Claimed Under 35 USC 119
			Yes ___ No ___
			Yes ___ No ___

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing	Status
		___ Patented ___ Pending ___ Abandoned
		___ Patented ___ Pending ___ Abandoned

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith.

John A. Smart
Reg. No. 34, 929

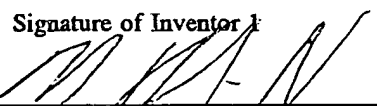
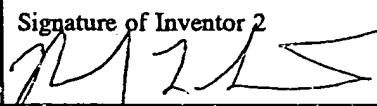
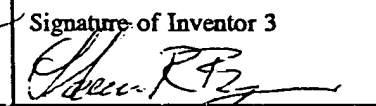
David N. Slone
Reg. No. 28,572

Vernon A. Norviel
Reg. No. 32,483

Send Correspondence to:	Direct Telephone Calls to:
John A. Smart, Esq. Corporate Affairs Department BORLAND INTERNATIONAL, INC. 1800 Green Hills Road Scotts Valley, CA 95066	John A. Smart Reg. No. 34,929 (408) 439-4885

Full Name of Inventor 1	Last Name FRID-NIELSEN	First Name MARTIN	Middle Name/Initial	
Residence & Citizenship	City SANTA CRUZ	State/Foreign Cntry. CA	Citizenship DANISH	
Post Office Address	P.O. Address 2440 Paul Minnie Ave	City Santa Cruz	State/Ctry CA	ZipCode 95062
Full Name of Inventor 2	Last Name SCHWARTZ	First Name RICHARD	Middle Name/Initial LEE	
Residence & Citizenship	City Paris	State/Foreign Cntry. France	Citizenship U.S.	
Post Office Address	P.O. Address 26 Rue de Martignac	City 75007 Paris	State/Ctry France	ZipCode
Full Name of Inventor 3	Last Name BOYE	First Name STEVEN	Middle Name/Initial RAY	
Residence & Citizenship	City LOS GATOS	State/Foreign Cntry. CA	Citizenship U.S.	
Post Office Address	P.O. Address 119 La Rinconada Dr	City Los Gatos	State/Ctry CA	ZipCode 95030

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 	Signature of Inventor 2 	Signature of Inventor 3 
Date 03/17-92	Date 9 March 92	Date 3/17/92

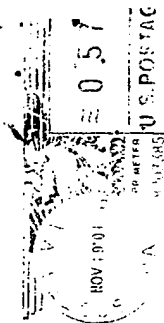


Starfish Software
Smart Internet Solutions
1700 Green Hills Road
Scotts Valley, CA 95066

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Bib Data Sheet

CONFIRMATION NO. 9351

SERIAL NUMBER 09/060,206	FILING DATE 04/14/1998 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. SF0006.02
APPLICANTS MARTIN FRID-NIELSEN, SANTA CRUZ, CA; RICHARD L. SCHWARTZ, PARIS, FRANCE; STEVEN R. BOYE, LOS GATOS, CA;				
** CONTINUING DATA ***** THIS APPLICATION IS A CON OF 08/649,824 05/17/1996 PAT 5,778,346 WHICH IS A CON OF 07/823,567 01/21/1992 PAT 5,519,606				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/07/1998				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature _____ Initials _____	STATE OR COUNTRY CA	SHEETS DRAWING 11	TOTAL CLAIMS 20
INDEPENDENT CLAIMS 3				
ADDRESS 27815				
TITLE SYSTEM AND METHOD FOR APPOINTMENT RECONCILIATION				
FILING FEE RECEIVED 2790	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	